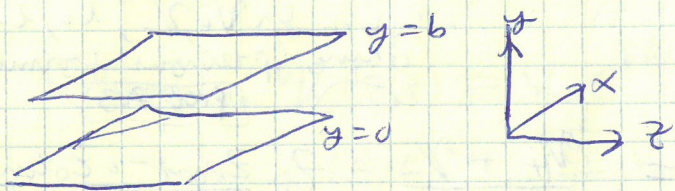


## Case 2: Waves between Conducting Planes

a) Use the boundary conditions for a perfect conductor

$$\vec{E}_{\parallel} = 0 \quad \vec{B}_{\perp} = 0 \quad \mu = \epsilon = 1 \quad \text{between planes}$$



One solution is to have Transverse Electromagnetic waves (TEM) waves

$$\text{Here } \vec{E}(\vec{r}, t) = E_0 \hat{e}_y e^{i(kz - \omega t)}$$

$$\vec{B}(\vec{r}, t) = -B_0 \hat{e}_x e^{i(kz - \omega t)}$$

However there are also other solutions in which  $\vec{E}$  is  $\perp$  to  $\vec{k}$  (TE) or  $\vec{B} \perp \vec{k}$  (TM) but not both.

In hollow conductors <sup>(w/ walls on all sides)</sup> TE & TM are allowed. TEM are not.

Lets construct a solution with  $\vec{E} \parallel \hat{e}_x$  &  $\vec{B}$  in arbitrary direction

$$\vec{E}(\vec{r}, t) = \hat{e}_x E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)} \quad \text{with } \vec{k} = k_y \hat{e}_y + k_z \hat{e}_z$$

also add wave with  $\vec{k} = -k_y \hat{e}_y + k_z \hat{e}_z$

$$\vec{E}(\vec{r}, t) = \hat{e}_x E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)} + \hat{e}_x E_1 e^{i(-k_y y + k_z z - \omega t)}$$

B.C.: Choose  $E_1 = -E_0$  to ensure  $\vec{E} = 0$  at  $y=0$

$$\text{Then } \vec{E}(\vec{r}, t) = \hat{e}_x E_0 e^{i(k_z z - \omega t)} 2i \sin(k_y y)$$

also require  $\vec{E} = 0$  if  $y = b$

$$k_y b = n\pi \quad n = 1, 2, \dots \quad n \text{ is the mode \#}$$

$k_y b = 0$  gives  $\vec{E} = 0$  everywhere (trivial solution)

$$\text{Solution: } \vec{E}(\vec{r}, t) = \hat{e}_x E_0 (-2i \sin \frac{n\pi}{b}) e^{i(k_z z - \omega t)}$$

$$k = -k_y \hat{e}_y + k_z \hat{e}_z \Rightarrow k_z^2 + \left(\frac{n\pi}{b}\right)^2 = \left(\frac{\omega}{c}\right)^2$$

now only a function of  $z$

$$k_z = \sqrt{\frac{\omega^2}{c^2} - \left(\frac{n\pi}{b}\right)^2} \quad \text{dispersion relation}$$

Magnetic Field:

$$\text{For travelling wave: } \vec{B} = \hat{e}_z \times \vec{E} = \frac{\vec{k} \times \vec{E}}{k}$$

$$\begin{array}{l} e_x \ e_y \ e_z \\ 0 \ k_y \ k_z \\ E_x \ 0 \ 0 \end{array} \vec{B}(\vec{r}, t) = \left( -\frac{\hat{e}_z k_y}{k} + \frac{\hat{e}_y k_z}{k} \right) E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

$$\begin{array}{l} e_x \ e_y \ e_z \\ 0 \ -k_y \ k_z \\ E_x \ 0 \ 0 \end{array} \Rightarrow -\left( \frac{\hat{e}_z k_y}{k} + \frac{\hat{e}_y k_z}{k} \right) E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

$$= -\frac{k_y}{k} E_0 \hat{e}_z 2 \cos(k_y y) e^{i(k_z z - \omega t)}$$

$$+ \frac{k_z}{k} E_0 \hat{e}_y 2i \sin(k_y y) e^{i(k_z z - \omega t)}$$

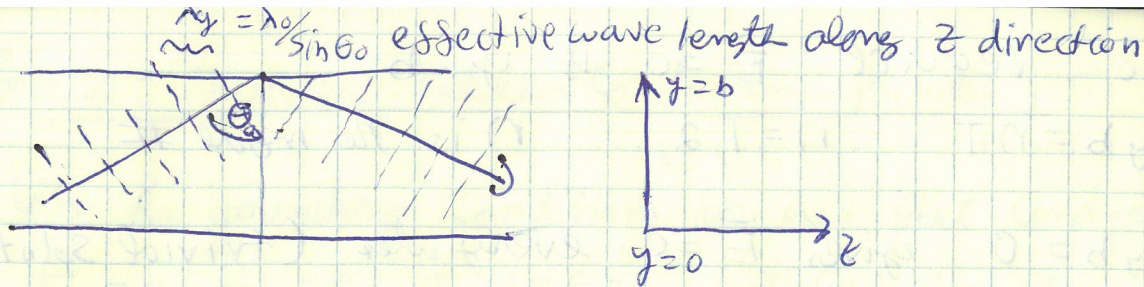
$$\vec{B}_\perp = 0 \text{ if } y = 0, b \text{ since } k_y = \frac{n\pi}{b}$$

$\uparrow$   
 $B_y$

Wave consists of two superimposed plane

waves moving with  $\vec{k} = \pm k_y \hat{e}_y + k_z \hat{e}_z$

with angle  $\theta_0$  defined by  $\cos \theta_0 = \frac{n\pi}{k b}$  w/ respect to  $y$  axis



These waves reflect specularly off the perfectly conducting planes

→ Standing wave in y direction

travelling wave in z direction w/  $k_z = \sqrt{\frac{\omega^2}{c^2} - \left(\frac{n\pi}{b}\right)^2}$

Note: for propagating wave  $k_z$  must be real

$$k^2 = \frac{\omega^2}{c^2} > \left(\frac{n\pi}{b}\right)^2 \text{ or equivalently}$$

$$\lambda = \frac{2\pi}{k} < \frac{2b}{n} \equiv \lambda_c \text{ the cut off wavelength}$$

$$\nu = \frac{\omega}{2\pi} > \frac{nc}{2b} \equiv \nu_c = \frac{\omega_c}{2\pi} \quad \omega_c, \nu_c \text{ are the cut off frequencies}$$

if  $\lambda > \lambda_c$  or  $\omega < \omega_c$  wave is damped in z direction

Phase & Group Velocity:  $U_{ph} = \frac{\omega}{k_z} = \frac{c}{\sin \theta_0}$

is the velocity at which the phase fronts move through the wave guide

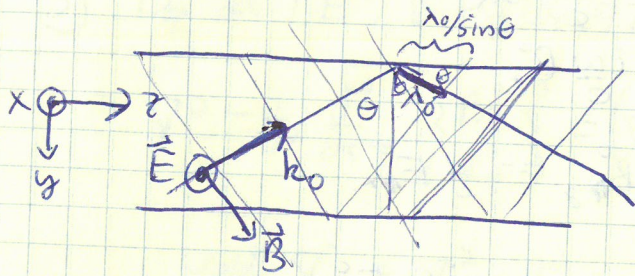
Note: The actual travelling waves that build the TE mode are travelling at speed c

The z component of their velocity is  $U_{gr} = c \sin \theta_0 = \frac{\omega k_z}{k^2}$

this group velocity indicates how fast information & energy travels

$$U_{gr} = \frac{d\omega}{dk_z} = \frac{k_z c}{\sqrt{k_z^2 + \left(\frac{n\pi}{b}\right)^2}} = c \sin \theta_0 \quad \omega = c \sqrt{k_z^2 + \left(\frac{n\pi}{b}\right)^2}$$

## Example to work through



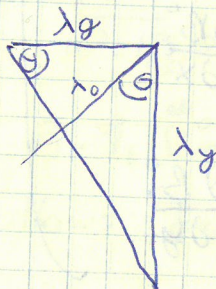
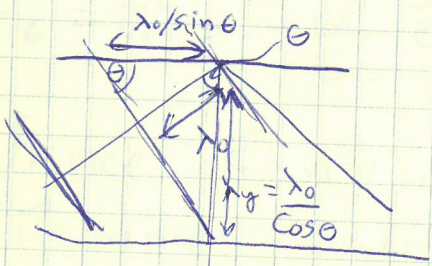
$k_0$  decomposes into components like any other vector.

$$k_z = k_0 \sin \theta$$

$$k_y = k_0 \cos \theta$$

$$\lambda_z = \frac{2\pi}{k_0 \sin \theta} = \frac{\lambda_0}{\sin \theta}$$

$$\lambda_y = \frac{\lambda_0}{\cos \theta}$$



## Waves in Hollow Conductors.

arbitrary shape + translational invariance in  $z$ .

$$\left( \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \begin{Bmatrix} \vec{E} \\ \vec{B} \end{Bmatrix} = 0$$

$$\vec{E} = \vec{E}_0(x, y) e^{i(k_z z - \omega t)}$$

$$\vec{B} = \vec{B}_0(x, y) e^{i(k_z z - \omega t)}$$

$$\vec{E}_{||} = B_{\perp} = 0 \text{ at boundaries}$$

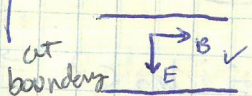
1) TE waves

Look for solution w/  $E_{z0} = 0$  but not necessarily  $B_{z0} = 0$

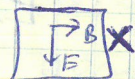
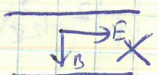
general result:

No TEM waves

Possible inside a single connected hollow conductor



need  $E_{||} = 0$  &  $B_{\perp} = 0$  for TEM waves



For more rigorous proof see book.

$$\vec{\nabla} \times \vec{E} = \frac{i\omega}{c} \vec{B} \Rightarrow \begin{cases} -ik_z E_y^0 = \frac{i\omega}{c} B_x^0 \\ ik_z E_x^0 = \frac{i\omega}{c} B_y^0 \end{cases}$$

$$\vec{\nabla} \times \vec{B} = -\frac{i\omega}{c} \vec{E} \Rightarrow \begin{cases} \frac{\partial B_z^0}{\partial y} - ik_z B_y^0 = -\frac{i\omega}{c} E_x^0 \\ ik_z B_x^0 - \frac{\partial B_z^0}{\partial x} = -\frac{i\omega}{c} E_y^0 \end{cases}$$

Combine equations

$$E_x^0 = \frac{i\omega/c}{(\omega/c)^2 - k_z^2} \frac{\partial B_z^0}{\partial y}$$

$$E_y^0 = -\frac{i\omega/c}{(\omega/c)^2 - k_z^2} \frac{\partial B_z^0}{\partial x}$$

$$B_x^0 = \frac{ik_z}{(\omega/c)^2 - k_z^2} \frac{\partial B_z^0}{\partial x}$$

$$B_y^0 = \frac{ik_z}{(\omega/c)^2 - k_z^2} \frac{\partial B_z^0}{\partial y}$$

Note: denominator is not zero since  $k_z \neq \frac{\omega}{c}$  for a wave that is not a TEM wave.

Can rewrite here in a two dimensional vector notation:

$$\text{Define } \vec{E}_t^0 = E_x^0 \hat{e}_x + E_y^0 \hat{e}_y$$

$$\vec{B}_t^0 = B_x^0 \hat{e}_x + B_y^0 \hat{e}_y$$

$$\vec{\nabla}_t = \hat{e}_x \frac{\partial}{\partial x} + \hat{e}_y \frac{\partial}{\partial y}$$

$$\text{Then: } \vec{B}_t^0 = \frac{ik_z c^2}{\omega^2 - k_z^2 c^2} \vec{\nabla}_t B_z^0 = k_z \frac{c}{\omega} (\hat{e}_z \times \vec{E}_t^0)$$

Conclusion: for TE waves  $B_z^0$  completely determines the fields.

2) Similarly for TM waves  $E_z^0$  completely determines the fields

$$\vec{E}_t^0 = \frac{ik_z c^2}{\omega^2 - k_z^2 c^2} \vec{\nabla}_t E_z^0 = -\frac{k_z c}{\omega} (\hat{e}_z \times \vec{B}_t^0)$$

↳  $k_z$  distinct from  $k_0$  since B field is transverse

3)  $B_z^0$  for TE<sup>z</sup>, &  $E_z^0$  for TM satisfy the wave eq.:

$$\nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \begin{Bmatrix} B_z^0 \\ E_z^0 \end{Bmatrix} = \left( \nabla_t^2 + \left( \frac{\omega^2}{c^2} - k_z^2 \right) \right) \begin{Bmatrix} B_z^0 \\ E_z^0 \end{Bmatrix} = 0 \quad \begin{Bmatrix} \text{TE} \\ \text{TM} \end{Bmatrix}$$

Used  $\nabla^2 = \nabla_t^2 + \frac{\partial^2}{\partial z^2} = \nabla_t^2 - k_z^2$  where  $\nabla_t^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$

Boundary Conditions: let  $\hat{n}$  be a vector  $\perp$  to boundary

So that it is in  $x-y$  plane

For TE mode  $\left. \begin{aligned} \vec{E} \times \hat{n} &= 0 \\ \vec{B} \cdot \hat{n} &= 0 \end{aligned} \right\}$  at boundary

$\vec{E}_z \perp \hat{n}$  so  
 $\vec{E}_z \times \vec{\nabla}_t B_z^0 \times \hat{n} = 0$   
 must be  $\parallel$   
 $\vec{\nabla}_t B_z^0$  must be  $\perp$  to  $\hat{n}$

use  $\vec{E}_n^0 = \vec{E}_t^0 = -\frac{\omega}{k_z c} \vec{e}_z \times \vec{B}_t^0 = -\frac{i\omega c}{\omega^2 - k_z^2 c^2} \vec{e}_z \times \vec{\nabla}_t B_z^0$

hence  $\vec{E} \times \hat{n} = 0$  implies  $\hat{n} \cdot \vec{\nabla}_t B_z^0 = 0$  at boundary

The same condition follows from  $\vec{B} \cdot \hat{n} = 0$  at boundary.

For TM modes

$E_z = 0$  at boundary (since  $E_{\parallel}$  must be zero)

This implies that the derivative of  $E_z$  along boundary is also zero.

$\hat{n} \times \vec{\nabla}_t E_z^0 = 0$  so that  $\vec{E}_{\parallel}$  &  $\vec{B}_{\perp}$  are zero too (using 2) above)

4) In general the Eq:

$$\left( \nabla_t^2 + \left( \left( \frac{\omega}{c} \right)^2 - k_z^2 \right) \right) \begin{Bmatrix} E_z^0 \\ B_z^0 \end{Bmatrix} = 0$$

with boundary conditions derived above has nontrivial solutions for a discrete set of non negative eigenvalues only.

$$\left( \frac{\omega}{c} \right)^2 - k_z^2 = k_n^2 \quad n = 1, 2, 3, \dots$$

hence  $k_z = \sqrt{\left( \frac{\omega}{c} \right)^2 - k_n^2}$

• Cut off frequency for mode  $n$  is  $\omega_c = k_n c$

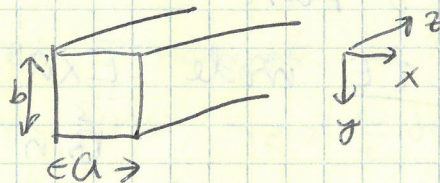
• group velocity  $u_g = \frac{d\omega}{dk_z} = c \sqrt{1 - \frac{k_n^2 c^2}{\omega^2}} = c \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}$

$u_g \rightarrow 0$  for  $\omega \downarrow \omega_c$

$u_g \rightarrow c$  for  $\frac{\omega}{\omega_c} \rightarrow \infty$

5) Example: Rectangular wave guide, TE

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + k^2 \right) B_z^0 = 0$$



$$\frac{\partial B_z^0}{\partial x} = 0 \quad \text{at } x=0, a$$

$$\frac{\partial B_z^0}{\partial y} = 0 \quad \text{at } y=0, b$$

Solution:  $B_z^0 = B^0 \cos\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right)$

$$k_{mn}^2 = \left(\frac{\omega}{c}\right)^2 - k_z^2 = \pi^2 \left(\frac{m^2}{a^2} + \frac{n^2}{b^2}\right)$$

mode w/  $m, n$  is called  $TE_{m,n}$  mode  
( $TE_{00}$  mode does not exist)

if  $a > b$  mode w/ lowest cut off freq

$\omega_c = k_{mn} c$  is  $TE_{10}$  "dominant mode"

In practice Engineers choose  $a, b$  such that the dominant mode is the only mode at the desired freq.

In dominant mode:

$$E_y^0 = \frac{i\omega a}{\pi c} B^0 \sin \frac{\pi x}{a}$$

$$B_x^0 = -\frac{ik_z a}{\pi} B^0 \sin \frac{\pi x}{a}$$

$$B_z^0 = B^0 \cos \frac{\pi x}{a}$$

$$E_x^0 = B_y^0 = E_z^0 = 0$$

## Energy flow

$$\langle \vec{S} \rangle = \frac{c}{8\pi} \operatorname{Re}(\vec{E} \times \vec{B}^*)$$

For dominant mode

$$\begin{aligned} \langle \vec{S} \rangle_{10} &= \frac{c}{8\pi} \operatorname{Re} \left( E_y^0 B_z^{0*} \hat{e}_x - E_y^0 B_x^{0*} \hat{e}_z \right) \\ &= \frac{c}{8\pi} \hat{e}_z \left( \frac{|aB^0|^2 \omega}{\pi} k_z \right) \underbrace{\sin^2 \frac{\pi x}{a}}_{\text{ave out to } \frac{1}{2}} \end{aligned}$$

total transmitted power in z direction

$$P_{10} = \frac{c}{16\pi} \left| \frac{aB^0}{\pi} \right|^2 \frac{\omega k_z}{c} ab$$

$$\text{using } E^0 = i \frac{\omega a}{\pi c} B^0 \quad \& \quad k_z = \frac{\omega}{c} \sqrt{1 - \left( \frac{\omega_c}{\omega} \right)^2}$$

$$P_{10} = \frac{c |E^0|^2}{16\pi} ab \sqrt{1 - \left( \frac{\omega_c}{\omega} \right)^2}$$

---

Same story for TM modes now

$$E_z^0(x, y) = E^0 \sin \frac{m\pi x}{a} \sin \frac{n\pi y}{b} \quad m, n \geq 1$$

lowest mode is TM<sub>11</sub>

$$(\omega_c)_{mn} = \pi c \sqrt{\frac{m^2}{a^2} + \frac{n^2}{b^2}}$$

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optical fibers / cylinders



# Optical Fibers & Cylindrical wave guides:

Math Aside: Bessel functions

$$\left. \begin{aligned} \text{recall } J_n(kr) &\sim \sqrt{\frac{2}{\pi kr}} \cos\left(kr - \frac{n\pi}{2} - \frac{\pi}{4}\right) \\ N_n(kr) &\sim \sqrt{\frac{2}{\pi kr}} \sin\left(kr - \frac{n\pi}{2} - \frac{\pi}{4}\right) \end{aligned} \right\} \text{in large } r \text{ limit}$$

Holds For Complex arguments

$$\text{or } N_n(z) = \frac{\cos n\pi J_n(z) - J_{-n}(z)}{\sin n\pi}$$

modified Bessel function of the first kind:

$$I_\nu(z) = e^{-\pi i \nu/2} J_\nu(iz)$$

modified Bessel function of second kind

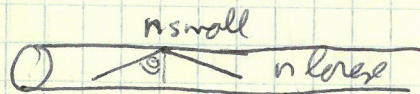
$$K_\nu(z) = \frac{\pi}{2 \sin \pi \nu} (I_{-\nu}(z) - I_\nu(z))$$

$$K_\nu(z) \approx \sqrt{\frac{\pi}{2z}} e^{-z} \text{ if } z \gg |\nu|$$

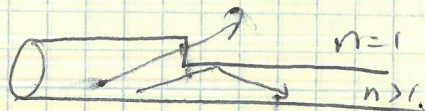
## Optical Fibers

Metal wave guides have losses: every reflection, some intensity is lost. They are also easy to break.

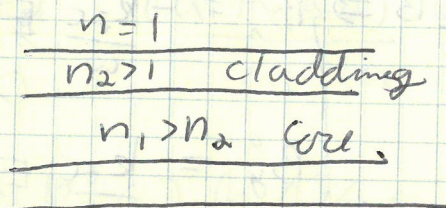
Optical fibers use total internal reflection



- Problems:
- \* Need a minimum  $\theta$  for total internal reflection
  - \* excitation of evanescent waves can lead to losses
  - \* Complicated Boundary Conditions at interface  
ex: reflection has  $\pi$  phase shift depending on  $\theta$
  - \* Practical difficulties - Scratches on surface causes light to leak

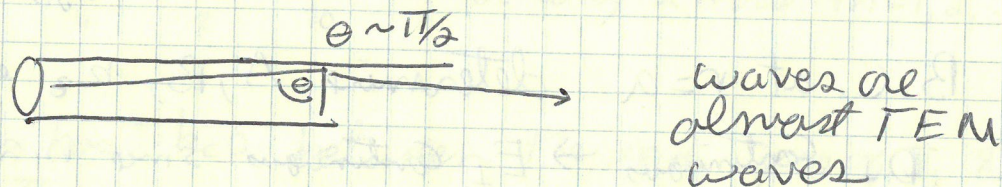


Solution protect surface by adding another dielectric cladding



Now, Light stays in Core, decrease to outer surface has no consequences if cladding is thicker than decay length for evanescent waves

to simplify theory, assume  $n_2$  is just a bit smaller than  $n_1$ ,



For each field component  $E_x, \dots, B_z$  labeled  $\psi$  we have

$$\left( \nabla^2 - \frac{n^2}{c^2} \frac{\partial^2}{\partial t^2} \right) \psi = 0 \quad \psi = \psi_0(r) e^{i(k_z z - \omega t)}$$

$$\left( \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial}{\partial r} + \underbrace{\left( \frac{n\omega}{c} \right)^2 - k_z^2}_{k_c^2} \right) \psi = 0 \quad \text{Bessel eq for } x, y, z \text{ components. kind of weird}$$

\* for  $r < a$  (Core)

need  $k_c^2 > 0$  so that  $\psi_0(r)$  is oscillating

then  $\psi_0(r) = A J_0(k_c r)$

\* for  $r > a$  (cladding)

need  $k_c^2 < 0$   $\psi_0(r)$  is exp damped

$\psi_0(r) = B K_0(\gamma r)$  where  $\gamma^2 = -k_c^2$

X-polarized E field:  
 look for solutions w/  $E_y = 0$

$$E_x = \begin{cases} A J_0(k_c r) & r < a \\ B K_0(\gamma r) & r > a \end{cases} \quad \text{then}$$

$$\vec{\nabla} \times \vec{E} = i\omega \vec{B} \Rightarrow \begin{cases} B_x^0 = -\frac{k_z c}{\omega} E_y^0 - i \frac{c}{\omega} \frac{\partial E_z^0}{\partial y} \approx 0 \\ B_y^0 = \frac{k_z c}{\omega} E_x^0 + i \frac{c}{\omega} \frac{\partial E_z^0}{\partial x} \approx A \frac{k_z c}{\omega} J_0(k_c r) \end{cases}$$

Since  $E_z$  is small

Book shows that  $E_z^0 = \frac{ic}{n_2 \omega} \left( \frac{\partial B_y^0}{\partial x} - \frac{\partial B_x^0}{\partial y} \right) \approx \frac{iAC}{n_2 \omega} \frac{k_z c}{\omega} \frac{J_1(k_c r)}{k_c \cos \phi}$

Trick is to define  $\frac{\partial}{\partial x}$  instead of  $\frac{\partial}{\partial r}$  &  $\frac{\partial}{\partial \phi}$

$$B_z^0 = -\frac{ic}{\omega} \left( \frac{\partial E_y^0}{\partial x} - \frac{\partial E_x^0}{\partial y} \right) \approx iA \sin \phi \frac{k_z c}{\omega} J_1(k_c r) \approx 0$$

B.C. at  $r=a$  determine  $A, B, k_z$  etc.

$D_{\perp}$  Continuous  $\rightarrow E_{\perp}$  Continuous since  $n_1 \approx n_2$

~~Both Continuous~~  $B_{\perp}$  Continuous

$$\boxed{A J_0(k_c a) = B K_0(\gamma a)}$$

~~Both~~  $E_{\parallel}$  Continuous &  $H_{\parallel}$  or  $B_{\parallel}$  Continuous

$$\boxed{A k_c J_1(k_c a) = B \gamma K_1(\gamma a)}$$

$$B = \frac{J_0(k_c a)}{K_0(\gamma a)} A \quad \text{fixes amplitudes} \quad k_c a \frac{J_1(k_c a)}{J_0(k_c a)} = \gamma a \frac{K_1(\gamma a)}{K_0(\gamma a)}$$

Fixes  $k_c, \gamma$  & hence  $k_z$   
Since  $k_c$  &  $\gamma$  are functions of  $k_z$

$$k_c^2 = \left( \frac{n_1 \omega}{c} \right)^2 - k_z^2$$

$$\gamma^2 = k_z^2 - \left( \frac{n_2 \omega}{c} \right)^2$$

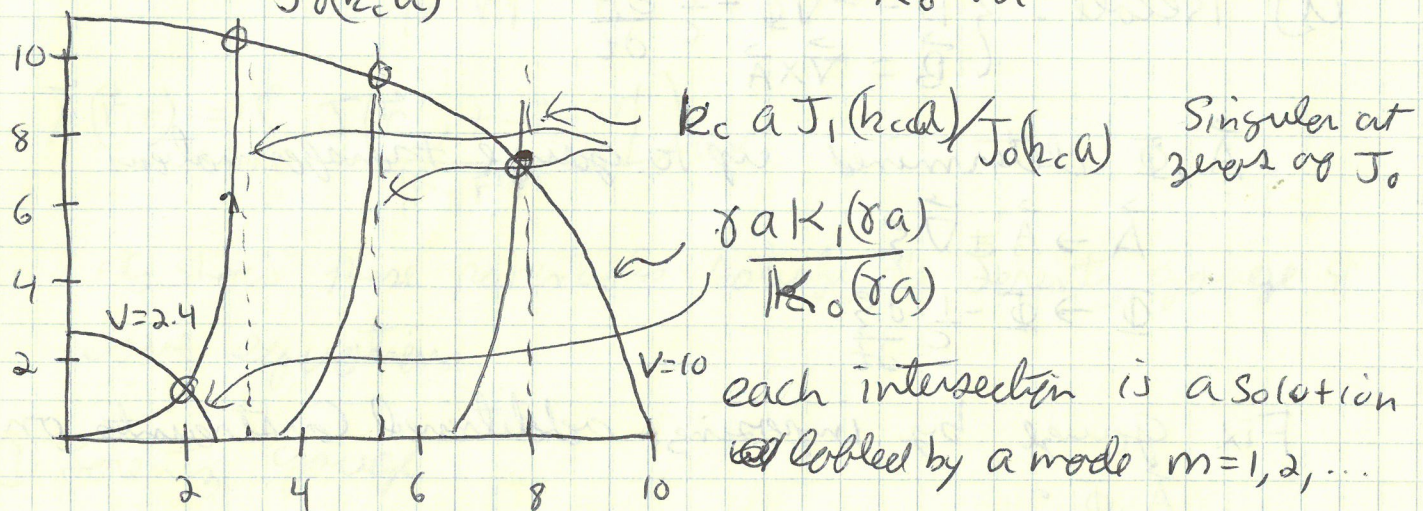
$$\Rightarrow k_c^2 + \gamma^2 = \left( \frac{\omega}{c} \right)^2 (n_1^2 - n_2^2)$$

$$\Rightarrow \text{Define } V^2 = a^2 (k_c^2 + \gamma^2) = \left( \frac{\omega a}{c} \right)^2 (n_1^2 - n_2^2)$$

$V$  is a dimensionless parameter that tells about the fiber properties as a function of  $\omega$

$$\gamma = \sqrt{\frac{V^2}{a^2} - k_c^2}$$

Plot  $\frac{k_c a J_1(k_c a)}{J_0(k_c a)}$  and  $\frac{\gamma a K_1(\gamma a)}{K_0(\gamma a)}$  vs.  $k_c a$



$m$ th intersection has  $k_c a$  between the  $m$ th zero of  $J_1$  & the  $n$ th zero of  $J_0$

$$k_{z,m} = \sqrt{\left(\frac{n\omega}{c}\right)^2 - k_{c,m}^2}$$

Such modes are called LP<sub>em</sub> w/  $l=0$  (E, B indep of  $\phi$ )  
Linearly Polarized

There are also modes w/  $\phi$  dependence that have  $l \neq 0$

# of modes  $\propto \frac{V^2}{2}$ . No cut off freq. Can find a mode for arbitrary small  $V$ .

Single mode fibers:

$V$  small enough that only one mode can propagate

$V$  must be smaller than 3.832 (1st zero of  $J_1$ ) to avoid LP<sub>02</sub> mode. LP<sub>11</sub> mode starts up at  $V=2.405$  (1st zero of  $J_0$ ).  $\therefore V$  must be smaller than 2.405 for a single mode fiber

Caveat: if  $V$  is very small then  $k_c a \approx V$   $(\gamma a)^2 = V^2 - k_c^2 a^2 \downarrow 0$   
 & cladding has to be very thick for evanescent wave to decay completely